

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O.

Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/652,968

Confirmation No. : 4756

Applicant: Vishnu K. Agarwal

Filed

: August 31, 2000

Attorney Docket No.: 501082.13

Art Unit

: 2815

Customer No.

: 27,076

Examiner: Jose R. Diaz

Title

: DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A

CONDUCTIVE LAYER IN SAID DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/200,253, filed November 25, 1998. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

App. No. 09/652,968

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP

Marin Servo

Marcus Simon

Registration No. 50,258

MS:clr

Enclosures:

Postcard Check Fee Transmittal (+ copy) Copy of Information Disclosure Statement Form PTO-1449

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

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APR 1 9 2005					•	بند ،	
Effective on 12/08/04		Complete if Known					
		Application No.		09/652,968	09/652,968		
		Filing Da	Filing Date		August 31, 2000		
FEE TRANSMITTAL SHEE	ı	First Inventor		Vishnu K.	Vishnu K. Agarwal		
(FY 2005)		Group Art Unit		2815	2815		
		Examiner Name		Jose R. Di	Jose R. Diaz		
Applicant claims small entity status (see 37 C.F.R. 1.27)			Atty. Docket Number		501082.13		
			FEE CALCULATION (Continued)				
METHOD OF PAYMENT (Check One) The Director is bereby authorized to charge any additional fee		3. ADDITIONAL FEES					
X The Director is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No. 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP.			Small Entity Fee	Fee Description		Fee paid	
X Check Enclosed.			25	Surcharge - late provisional filing fee or cover sheet		\$	
Extra Claim Fees			65	Surcharge – Late fee or oath	nonprovisional filing	\$	
Current Claims Prior Extra Fee Fee Paid			180	Submission of ID	S	\$180	
Total - 20 = x \$ = x \$ =	\$ 0 \$ 0	40	40		patent assignment per	\$	
Multiple Dependent Claims		120	60	• • •	umber of properties) ly within first month	\$	
		450	225	Extension for reply within second month		\$	
Petition Fee Under 37 CFR 1.17(f), (g), & (h)		1,020	510	Extension for reply within third month		\$	
Enclosed is a Petition filed under 37 CFR as indicated below		1,590	795	Extension for repl	ly within fourth month	\$	
Petition Fee under 37 CFR 1.17(f) § 1.53(e) to accord a filing date.	Fee \$400	2,160	1,080	Extension for repl	ly within fifth month	\$	
§ 1.57(a) to accord a filing date.		790	395	Submission After	Final 1.129	\$	
§ 1.182 for decision on a question not provided for. § 1.183 to suspend the rules. § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent. § 1.174(b) to accord a filing date to an application under §1.740 for extension of patent term. ☐ Petition Fee under 37 CFR 1.17(g) Fee \$200 § 1.12 for access to an assignment record. § 1.14 for access to an application.		500	250	Notice of Appeal		\$	
		500	250	Filing a brief in support of an appeal		\$	
		1,000	500	Request for oral hearing		\$	
		130	65	Terminal Disclaimer Fee		\$	
		800	400	Design Issue Fee	•	\$	
§ 1.47 for filing by other than all inventors or person no § 1.59 for expungement of information.	ot the inventor.	790	395	Request for Continued Examination (RCE)		\$	
\$ 1.103(a) \$ 1.136(b) \$ 1.295 \$ 1.296 \$ 1.377 \$ 1.550(c) \$ 1.956 to suspend action in an application. for review of a request for ext. of time when §1.136(a) not avail. for review of refusal to publish a statutory invention registration. to withdraw a req. for pub. after notice of intent to publish issued. for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent. for request for ext. of time in ex parte reexam. proceedings. for request for ext. of time in ex parte reexam. proceedings.		130		•	tary publication or	\$	
		500	250	Petition to Revive	– unavoidable	\$	
		1,500	750	Petition to Revive	- unintentional	\$	
		200		Filing for patent to	•	\$	
§ 5.12 for expedited handling of foreign filing license. § 5.15 for changing the scope of a license.		400		Request for reins reduced	tatement of term	\$	
§ 1.5.25 for retroactive license. Petition Fee under 37 CFR 1.17(h)	Fee \$130	1,120		Extension of term	of patent	\$	
§ 1.19(g) to request documents in a form other than provided in this part. § 1.84 for accepting color drawings or photographs. § 1.91 for entry of a model or exhibit. § 1.102(d) to make an application special. § 1.138(c) to expressly abandon an application to avoid publication. § 1.313 to withdraw an application from issue. § 1.314 to defer issuance of a patent.		OTHER FEE (specify)			\$		
		(-F)	Subtotal (Additional Fees) Total Amount of Payment:			\$180	
						\$180	
Submitted by:							
CUSTOMER NUMBER 27,076 DORSEY & WHITNEY LLP 1420 Fifth Avenue, Suite 3400 Seattle, WA 98101-4010 (206) 903-8800 phone / (206) 903-8820 fax							
Name: Marcus Simon	· · · · · · · · · · · · · · · · · · ·	1 (200	, 555-550	o phone ((200) 503	Reg. No.: 50,258		
				Date: April 14, 2005			
Signature: Maran Jenno		` .					

FORM PTO-1449 (REV.7-80) APR 1 9 2005

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

05/22/01

ATTY. DOCKET NO. 501082.13

APPLICATION NO.

675

438

09/652,968 APPLICANT(S) Vishnu K. Agarwal **LOSURE STATEMENT** sheets if necessary) FILING DATE **GROUP ART UNIT** August 31, 2000 2815 **U.S. PATENT DOCUMENTS SUBCLASS** FILING DATE **CLASS** DOCUMENT NUMBER NAME DATE *EXAMINER INITIAL IF APPROPRIATE Nagabushnam et al. 248.1 427 5,888,588 03/30/99 05/09/00 257 315 6,060,741 Huang AΒ

Nogami et al.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	UMENT NUMBER DATE COUNTRY	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
·	AL							
<u>, .</u>	AM							
	AN							
	AO							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	
AQ	
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	DAME CONCEDED

EXAMINER

DATE CONSIDERED

* EXAMINER:

Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).